PAGE 01/13

NOV 2 4 2004

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons Application Number 10/076,727 Filing Date TRANSMITTAL February 13, 2002 First Named Inventor **FORM** John T. Groves Art Unit 1639 Examiner Name Mark Lance Shibuya (to be used for all correspondence after initial filing) Attorney Docket Number IB-1695 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC  $|\mathbf{x}|$ Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC X Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Endosure(s) (please identify Terminal Disclaimer below): **Extension of Time Request** Request for Refund Express Abandonment Request CD. Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks duplicate Fee Transmittal Form for payment by deposit account 120690. Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Lawrence Berkeley National Laboratory Signature Printed name Joseph R. Milner Reg. No. Date 42,896 11-24-2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date 11/24/2004 Sharon Kay Reineman Typed or printed name

This collection of information is required by 37 CFR 1,5. The information is required to obtain or refain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including process) an application. Confidentiality is governed by 39 U.S.C. 122 and 37 Centre will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450. Alexandria. VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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510-486-7896

PTO/SB/17 (10-04v2)
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U.S. Patent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE

Inder the Paperwork Reduction Act of 199	95, no persons are required to r	respond to a collection of info	ermation unless it displays a valid OMB control number	ŗ,				
		Complete if Known						
FEE TRANS	SMITTAL	Application Number	10/076,727					
6 EV (	2005		February 13, 2002					
for FY 2		First Named Inventor	John T. Groves	_				
Effective 10/01/2004. Patent fees are s	ubject to annual revision.		Mark Lance Shibuya					
Applicant claims small entity status. See 37 CFR 1.27		Art Unit	1639	_				
STAL AMOUNT OF PAYMENT	(\$) 765.00	Attorney Docket No.	IB-1695	_				

				EEE CALCUII ATION (continued)						
METHOD OF PAYMENT (check of that apply)		FEE CALCULATION (continued)								
Check Credit card Money Other None		3. ADDITIONAL FEES								
<u>□</u> ~	<u> </u>	C Order C	_	<u>Large (</u>						
X Deposit A	ACCOUNT:	<del></del>		Fee Code			Fee (\$)	Fee Description	Fee Paid_	
Deposit Account		120690	]	1051	130	2051		Surcharge - late filing fee or oath	1 50 1 810	
Number Deposit				1052	50	2052		Surcharge - late provisional filing fee or		
Account	Lawrence B	terkeley National Lab	oratory	1032	90	2034		cover sheet		
Name I	authorized to	: (check all that apply)		1053	130	1053		Non-English specification		
	s) Indicated bel		verpayments	1812	_,	1812 2	•	For filing a request for ex parts reexamination		
		a) or any underpayment of	fee(6)	1804	920*	1804	920*	Requesting publication of SIR prior to Exeminer action		
		ow, except for the filing		1805	1.840*	1805	1,840*	Requesting publication of SIR after		
to the above-ide				1	•			Examiner action		
	FEE C	ALCULATION		1251	110	2251	55	Extension for reply within first month		
1. BASIC FI	<u>.</u>			1252	430	2252	215	Extension for reply within second month		
Large Entity S	mail Entity			1253	980	2253	490	Extension for reply within third month	765.00	
Fee Fee	Fee Fee Code (\$)	Fee Description	Fee Paid	1254	1.530	2254	765	Extension for reply within fourth month	/63.00	
117	2001 395	Utility filing fee		1255	2,080	2255	1,040	Extension for repty within fifth month	<del>                                     </del>	
	2002 175	Design filing fee		1401	340	2401	170	Notice of Appeal	<b> </b>	
	2003 275	Plant filing fee	$\vdash$	1402	340	2402	170	Filing a brief in support of an appeal	<u> </u>	
1004 790	2004 395	Reissue filing fee		1403	300	2403	150	Request for oral hearing		
1005 180	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	ļ <u> </u>	
	s	UBTOTAL (1) (\$)		1462	110	2452	55	Petition to revive - unavoidable	<u> </u>	
L <u>.                                    </u>					1,370	2453	685	Petition to revive - unintentional		
2. EXTRA C	CLAIM FEES	FOR UTILITY AND		1501	1,370	2501	685	Utility lasue fee (or reissue)		
		Extra Claims below		1502	490	2502	245	Design issue fee		
Total Claims Independent	-20*		ļļ	1503	660	2503	330	Plant Issue fee		
Claims	-3**	¹= └── × <u>└──</u>	ļ <u></u>	1460	130	1460	130	Petitions to the Commissioner		
Multiple Deper	ndent		<b>┛</b> ▝ <b>Ĺ</b> ▃▃	1807	50	1807	7 60	Processing fee under 37 CFR 1.17(q)		
Large Entity				1806	180	1806		Submission of Information Disclosure Strnt		
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 18 1201 88	2202 9 2201 44		excess of 3	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))		
1201 88	2201 44			1810	790	2810	395	For each additional invention to be		
1204 8B	2204 44	<ul> <li>Reissue independen over original patent</li> </ul>	t claims	1801	790	2801	395	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)		
1205 18	2205 9	** Relissue daima in ex		1802	900	1802	900			
Ì			<del></del>	Other	fee (ap	ecify) _		- ''		
- ac number		STOTAL (2) (\$)	see shove	"Red	rced by	Besic I	Fillng F	ee Paid SUBTOTAL (3) (\$)	765.00	

(Complete (# applicable)) SUBMITTED BY Registration No. 42,896 Telephone 510-486-4672 Joseph R. Milner Name (Рим/тура) (Attorney/Agent) November 24, 2004 Date Signature

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PTO/SB/17 (10-04v2)

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#### Complete if Known FEE TRANSMITTAL 10/076,727 Application Number February 13, 2002 Filing Date for FY 2005 John T. Groves First Named Inventor Effective 10/01/2004, Palent fees are subject to annual revision. Mark Lance Shibuya Examiner Name Applicant claims small entity status. See 37 CFR 1.27 1639 Art Unit IB-1695 (\$) 765.00 Attorney Docket No. TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Crder C		Entity	Small			
X Deposit Account:	Fee Code	Fee (\$)		Fee (8)	Fee Description	Fee Paid
Account 120690	1051	130	2051	65	Surcharge - tate filing fee or oath	
Number Deposit Account Lawrence Berkeley National Laboratory	1052	50	2052	26	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	1053	130	1053		Non-English specification	
Charge fcc(s) indicated below Credit any overpayments	1812	2,520	1812	.,	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for raply within first month	
1. BASIC FILING FEE	1252	430	2252	215	Extension for reply within second month	
Large Entity Small Entity	1253	980	2253	490	Extension for reply within third month	
Fee Fee Fee Fee Fee Peacription Fee Paid Code (\$) Code (\$)	1254	1,530	2254	765	Extension for reply within fourth month	765.00
1001 790 2001 395 Utility filing fee	126\$	2,080	2255	1,040	Extension for raply within fifth month	<del></del>
1002 350 2002 175 Design filing fee	1401	340	2401	170	Notice of Appeal	
1003 550 2003 275 Plant filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1004 790 2004 395 Reissue filing fee	1403	300	2403	150	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to Institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoldable	
, , , , , , , , , , , , , , , , , , ,	1453	1,370	2453	685	Petition to revive - unintentional	$\vdash$
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,370	2501		Utility Issue fee (or reisaue)	<b></b>
Extra Claims below Fee Paid	1502	490	2502		Design issue fee	
Total Claims .20** = X = Independent .3** = X	1503	660	2503		Plant issue fee	
Tolerand	1460	130	1480		Petitions to the Commissioner	
	1807	50	1807		Processing fee under 37 CFR 1.17(q)	<del>                                     </del>
Large Entity   Small Entity Fee Fee   Fee Fee Fee Description	1808	180	1806		Submission of Information Disclosure Strift	
Code (\$) Gode (\$)	8021	40	802°	1 40	Recording each patent assignment per property (times number of properties)	
1202 18   2202 9 Glaims in excess of 20   1201 88   2201 44 Independent claims in excess of 3	1809	790	2809	395	Filling a submission after final rejection (37 CFR 1.129(a))	
1203 300 2203 150 Multiple dependent claim, if not paid	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1204 88 2204 44 ** Reissue independent claims over original patent	1801	790	2801	395		
1205 18 2205 9 TReissue daims in excass of 20 and over original patent	1802		1802	900		
· · · · · · · · · · · · · · · · · · ·	Other fee (specify)					
SUBTOTAL (2) (\$) **or number previously paid, if greater, For Reissues, see above		• • •		Filing F	ee Paid SUBTOTAL (3) (\$)	765.00

SUBMITTED BY				(Complete (	f applicable))
Name (Print/Type)	Joseph R. Milner	Registration No.	42,896	Telephone	510-486-4672
Signatu <i>r</i> e	Jacob & hits			Date	November 24, 2004

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/076,727

Confirmation No.:

2093

Applicant

John T. Groves

Title

Modulation of Cellular Adhesion with Lipid Membrane Micro-Arrays

Filed

02/13/2002

TC/A.U.

1639

Examiner

Mark Lance Shibuya

Docket No. :

IB-1695

Cust. No.

08076

### **Commissioner for Patents**

P.O. Box 1450

Alexandria, VA 22213-1450

### RESPONSE TO RESTRICTION/ELECTION REQUIREMENT

Dear Sir:

In response to the Office Action mailed 06/30/2004, please amend the aboveidentified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 7 of this paper.

## CERTIFICATE OF MAILING OR FACSIMILE TRANSMISSION

I hereby certify that this correspondence (along with my referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class thail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Fatent and Trademark Office on the date shown below

Name (Type/Print)

Joseph R. Milner, Registration Number 42,896

Signature

Date

November 24, 2004